

## Lecture 16 (2/14/22)

- Recall and finish proof of Riemann Mapping Thm from Lecture 15 notes.

### Factorization theorems

Recall that if  $f \in H(G)$  and has zeros at  $a_1, \dots, a_n$ , then  $\exists g \in H(G)$  s.t

$$f(z) = (z-a_1) \cdots (z-a_n) g(z). \quad (*)$$

Moreover, any additional zeros will also be zeros of  $g$ . Thus, if the zero set of  $f$  is finite,  $a_1, \dots, a_n$ , repeated w/ multiplicities then  $(*)$  holds and  $g$  has no zeros in  $G$ .

We also know that  $f$  may have  $\infty$  many zeros (but without limit points in  $S$ ).

Is there an analogue of (\*)?

$$f(z) = \prod_{j=1}^{\infty} (z-a_j) g(z), g \neq 0 ?$$

What do we mean by infinite product?

Before answering the last question,  
let us consider a couple of examples.

Ex(1).  $f(z) = \sin \pi z$ . This is an entire function w/ zeros at  $z=n \in \mathbb{Z}$ . If you single out the zero at  $z=0$  and try to express

$$\sin \pi z = z \prod_{n \neq 0} (z-n) g(z),$$

You realize that, at e.g.  $z=0$ , the

infinite product  $\prod_{n \neq 0} f(n)$  is trying to multiply together all nonzero integers, which you cannot make any sense of as a complex number.

The second example shows that even if you are able to make sense of the product, it may not give you what you want.

Ex ②. Consider a sequence  $\{a_n\}_{n=1}^{\infty}$  in  $B(0, \frac{1}{4}) \stackrel{G}{\rightarrow}$  converging to  $z = \frac{1}{4}$ . Suppose  $\exists f \in H(G)$  s.t.  $f$  has zeros at  $\{a_n\}$  (are there such? Yes, by results we will prove here.) Can we make sense of  $\prod_{n=1}^{\infty} (z - a_n)$ ?

Well, for  $z \in G$ ,  $|z - a_n| \leq \frac{1}{2} \Rightarrow$  a partial product  $|\prod_{j=1}^n (z - a_j)| \leq \frac{1}{2^n} \rightarrow 0$  as  $n \rightarrow \infty$ ,

so setting  $f_n(z) = \prod_{j=1}^n (z-a_j)$  gives

a sequence  $f_n \in H(G)$  w/ zeros at  $z=a_1, \dots, a_n$ , but  $f_n$  converges in  $H(G)$  to 0, not to  $f$ .

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With this in mind, we first study convergence of infinite products of complex numbers in a suitable sense.

Def. ① A seq.  $\{z_n\}_{n=1}^\infty$  of complex numbers has an infinite product,

$$z = \prod_{n=1}^\infty z_n,$$

if  $z = \lim_{n \rightarrow \infty} \prod_{j=1}^n z_j$ .

In view of Ex ②, we want a criterion s.t. if no  $z_n = 0$ , then  $z \neq 0$ .

Obs. • If  $\prod_{j=1}^n z_j$ ,  $\prod_n \rightarrow z \neq 0$ ,

then  $z_n = \frac{\prod_n}{\prod_{n-1}} \rightarrow 1$ . Thus, there is no loss of generality to assume  $z_n \rightarrow 1$ .

We may and we shall assume  $\operatorname{Re} z_n > 0$ .

Note that  $\log z_n$  is then well defined.

principal branch .

•  $\prod_n = z_1 \cdots z_n = \exp(\log z_1 + \cdots + \log z_n)$  is

Prop1. Let  $\operatorname{Re} z_n > 0$ . Then  $\prod_{j=1}^n z_j$

converges to  $z \neq 0 \Leftrightarrow$

$\sum_{j=1}^n \log z_j$  converges to  $l$ ,  $z = e^l$ .

Pf. " $\Leftarrow$ " is easy and left to DIY.

" $\Rightarrow$ ". Write  $z = e^l$ , where

$$l = \log|z| + i\theta, \quad \theta \in \{-\pi, \pi\},$$

and similarly  $\pi_{Tn} = e^{l_n}$  w/

$$l_n = \log |\pi_{Tn}| + i\theta_n, \quad \theta_n \in [\theta - \pi, \theta + \pi).$$

Moreover, let  $s_n = \sum_{j=1}^n \log z_j$ . Then,

$$\pi_{Tn} = e^{s_n} \Rightarrow s_n = l_n + 2\pi i k_n, \quad k_n \in \mathbb{Z}.$$

The  $l_n$  are chosen s.t.  $\pi_{Tn} \rightarrow 2 \Rightarrow l_n \rightarrow l$ . In particular, by obs. above,  
 $\log z_n = s_n - s_{n-1} \rightarrow 0$  ( $z_n \rightarrow 1$ ).

$$\text{Thus, } l_n - l_{n-1} + 2\pi i (k_n - k_{n-1}) \rightarrow 0$$

Since  $l_n \rightarrow l$ ,  $l_n - l_{n-1} \rightarrow 0 \Rightarrow$

$k_n - k_{n-1} \rightarrow 0$  but since  $k_n \in \mathbb{Z}$ ,  
this can only happen if  $k_n = k_{n-1}$   
for  $n > 1$ . Thus,  $s_n = l_n$  for  $n > 1$   
and  $l_n \rightarrow l \Rightarrow s_n \rightarrow l$  as desired.



Prop 2. Suppose  $z_n = 1 + w_n$ ,  $w_n \xrightarrow{w}$  0.  
 Then  $\sum_{n=1}^{\infty} \log z_n$  conv. abs.  $\Leftrightarrow \sum_{n=1}^{\infty} w_n$  conv.  
 absolutely.

Pf. Since  $f(w) = \log(1+w)$  is analytic in  $\operatorname{Re} w > -1$ ,  $f(0) = 0$ ,  $f'(0) = 1$ , we have  
 $f(w) = w g(w)$ ,  $g(0) = 1$ ,

$g$  analytic in  $\operatorname{Re} w > -1$ . It follows  
 that  $\exists B(0, \delta)$  s.t.  $\frac{1}{2} \leq |g(w)| \leq \frac{3}{2}$   
 in  $B(0, \delta) \Rightarrow$

$$(1) \quad \frac{1}{2}|w| \leq |\log(1+w)| \leq \frac{3}{2}|w|, \quad w \in B(0, \delta).$$

Since  $w_n \rightarrow 0$ ,  $w_n \in B(0, \delta)$  for  $n \gg 1$   
 and Prop 2 follows easily.  $\square$

Def. ②. If  $z_n \rightarrow 1$ , then we say  
 that  $\prod_{n=1}^{\infty} z_n$  converges absolutely if  
 $\sum_{n=1}^{\infty} (1-z_n)$  conv. abs. ( $\Rightarrow \prod_{n=1}^{\infty} z_n = e^s$ , where  
 $s = \sum_{n=1}^{\infty} \log z_n$ .)

Thm 1. Let  $G \subseteq \mathbb{C}$  be region,  $\{f_n\}$  seq. in  $H(G)$  s.t. no  $f_n \equiv 0$ , and assume that  $\sum_{n=1}^{\infty} (1-f_n)$  conv. abs. + unif. (normally) on compact subsets of  $G$ . Then  $\prod_{n=1}^{\infty} f_n$  converges to  $f$  in  $H(G)$  and (2) any zero of  $f$  is a zero of finitely many of the  $f_n$ . The multip. of any zero is the sum of the multip. of the  $f_n$ 's that are zero at the point.

Pf. (1) Let  $K = \overline{\cup G_i}$  open s.t.  $K = \overline{\cup G_i}$  conv. abs. + unif.,  $f_n \rightarrow 1$  unif. on  $K \Rightarrow \exists N$  s.t.  $\Re f_n > 0$  on  $K$  ( $\Rightarrow f_n \neq 0$ ) Let  $f_K = \prod_{n=1}^N f_n$ . Now, by Prop 2 (estimable (1)),  $\sum_{n=N+1}^{\infty} \log f_n$  conv. abs. + unif. on  $K$ .  $\Rightarrow \exists g_K \in H(K) \cap C(K)$  s.t.

$g_K = \sum_{n=1}^{\infty} \log f_n$ . By cont. of  $w \mapsto e^w$ ,

for  $z \in K$ ,  $\prod_{j=1}^n f_j(z) = f_K(z)$ .

$$\prod_{j=N+1}^n f_j(z) = f_K(z) \exp \sum_{j=N+1}^n \log f_j(z)$$

$$\rightarrow f_K(z) e^{g_K(z)} = f(z). \quad \begin{matrix} \text{Note: while} \\ f_K, g_K \text{ depend} \\ \text{on } K, f(z) \text{ does} \\ \text{not.} \end{matrix}$$

What about unif. convergence of  $\prod_{j=N+1}^n f_n$ ? Let  $\bar{f}_n = \prod_{j=N+1}^n f_j$ . Then (wsh):

$$|\bar{f}_{n+m} - \bar{f}_m| = \left| \prod_{j=N+1}^m (f_j) \right| \left| \prod_{j=m}^n f_n - 1 \right| \quad (2)$$

Since  $\sum \log f_n$  conv. abs + unif. on  $K$  (\*\*),  $\exists M > 0$  s.t.  $\sum_{n=N+1}^{\infty} |\log f_n| \leq M$  on  $K$

For  $\varepsilon > 0$   $\exists \delta > 0$  s.t.  $|e^w - 1| \leq e^{-M} \varepsilon$

when  $|w| < \delta$ .

(\*) Note that the bound uses also compactness of  $K$ .

Again by <sup>abs+unif.</sup> conv. of  $\sum_{N+1}^{\infty} \log f_j$  we can find  $N' \geq N$  s.t.

$$\sum_{j=N'+1}^{\infty} \log |f_j| < \delta \text{ on } K.$$

$$\Rightarrow \text{by (2): } |\tilde{\Pi}_n - \tilde{\Pi}_m| \leq e^{\sum_{N'+1}^m \log |f_j|} |e^{\sum_{m+1}^{\infty} \log |f_j|} - 1| \\ < c^M \cdot e^{-\delta} \varepsilon = \varepsilon.$$

This proves  $\tilde{\Pi}_n \rightarrow f$  unif. on  $K$ .

Since  $U \subseteq G$  was arbitrary,  $\tilde{\Pi}_n \rightarrow f$  in  $G$ .

(2) For  $U \subseteq G$  as above,  $K = \overline{U} \cap G$ ,  
 $f(z) = \left( \prod_{j=1}^N f_j(z) \right) e^{g_K(z)}$ , and

hence all zeros of  $f$  in  $U$  come from the finite product  $\prod_{j=1}^N f_j(z)$ .

The conclusion follows. \(\square\)

